Complex-Envelope ADE-LOD-FDTD for Band Gap Analysis of Plasma Photonic Crystals

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Abstract — In this paper, a complex-envelope (CE) scheme is introduced into the locally one-dimensional finite-difference time-domain (LOD-FDTD) method for the band-gap analysis of the plasma photonic crystal (PPC). The un-magnetized plasma, characterized by a complex frequency-dependent permittivity, is expressed by the Drude model and solved with a generalized auxiliary differential equation (ADE) technique. The CE scheme is also applied to the perfectly matched layer. Numerical examples show that the proposed CE-ADE-LOD-FDTD method provides much more accurate results than the traditional ADE-LOD-FDTD with the same CFL number. The reflection and transmission coefficients of the PPC are calculated and their dependence on the relative permittivity of dielectric, the plasma frequency, the collision frequency and the plasma layer thickness is studied. The results show that the photonic band gaps of the PPC could be tuned by adjusting the parameters.

Index Terms — Band-gaps, complex envelope (CE), locally one-dimensional finite-difference time-domain (LOD-FDTD) method, plasma photonic crystal (PPC).

I. INTRODUCTION

Much attention has been paid to the photonic crystal due to its unique characteristics since the conception was put forward by Yablonovitch [1] and John [2] in the 1980s. The plasma photonic crystal (PPC) is an important branch of the photonic crystal. A PPC structure is an artificially periodic one composed of the alternating thin un-magnetized (or magnetized) plasmas and dielectric materials (or vacuum). In recent years, scholars have devoted much of the energy to the research of PPCs. At the same time, a number of related literatures continue to emerge. The natures of the PPC include photonic band gap properties of photonic localization and optical properties [3], [4]. For the analysis of the PPC, the frequency-dependent finite-difference time-domain (FDTD) method has been widely used. Because surface plasmon polaritons (SPPs) are highly localized along the plasma-dielectric interface, fine spatial grids are required to attain sufficient accuracy. Thus, an extremely small time step constrained by the Courant-Friedrich-Levy (CFL) stability condition results in a long computation time [5].

Some unconditionally stable FDTD methods have been presented to eliminate the CFL condition and to improve the computational efficiency. Several unconditionally stable time-marching methods only need to deal with the tri-diagonal matrix equation with low computational complexity, such as the locally one-dimensional (LOD) FDTD method [6], [7], the alternating-direction implicit (ADI) FDTD method [8], [9] and the split-step (SS) FDTD method [10]-[12]. The unconditionally stable Crank-Nicolson (CN) FDTD method is another time-marching method, in which the full time step size in one marching step is used to solve the discretized Maxwell’s equations [13], [14]. Although the above time-marching methods are unconditionally stable, their time steps are restricted by the dispersion errors [15]-[17]. The order-marching weighted Laguerre polynomial (WLP) FDTD method, in which the spatial and the temporal variables are separated, does not have to deal with the time step [18], [19]. Both CN-FDTD and WLP-FDTD have to solve a large banded-sparse matrix equation at the beginning of the calculation.

In order to reduce the numerical dispersion for large time-step sizes in ADI-FDTD, a technique called the complex-envelope (CE) has been proposed in [20]. It was claimed that CE-ADI-FDTD is more accurate than ADI-FDTD with the same time step. By using the CE technique, the carrier frequency term is absorbed into the Maxwell’s equations as a known quantity. Consequently, only the signal envelopes become the variants to be sampled and computed. Generally, LOD-FDTD requires fewer arithmetic operations than ADI-FDTD [21], [22] introduced a CE-LOD-FDTD method for the analysis of the optical waveguide. The CE-LOD-FDTD method was also used to analyze ionospheric propagation in a simple one-dimensional space without absorbing boundary conditions [23].

With the auxiliary differential equation (ADE) technique [24], [25], the CE-LOD-FDTD method is employed for the analysis of PPCs in this work. The
dispersion of the plasma expressed by the Drude model, is solved with the ADE technique to establish the relationship between the electric field intensity and conductive electric current in the PPC. Furthermore, the CE technique is integrated with the Berenger’s perfectly matched layer (PML) to truncate the computational domain effectively. With the proposed CE-ADE-LOD-FDTD method, the reflection and transmission coefficients through the PPC are calculated, and their dependence on the dielectric permittivity, the plasma frequency, the collision frequency and the plasma layer thickness is studied. The numerical examples verify accuracy and effectiveness of the proposed method.

II. NUMERICAL FORMULATION

A. CE-ADE-LOD-FDTD method

The time dependence of $e^{\text{ext}}$ is assumed. According to the Drude model, the relative permittivity (in frequency domain) of the un-magnetized plasma is given by:

$$
\varepsilon_\text{m} (\omega) = 1 - \frac{\omega_p^2}{\omega^2 + i\gamma \omega},
$$

(1)

where $\omega$ is the angular frequency of the impinging light, $\omega_p$ is the plasma frequency, and $\gamma$ is the collision frequency of the plasma.

For simplicity, a 2-D TM wave including $E_y$, $E_z$ and $H_y$ components is considered. The 2-D Maxwell’s equations and auxiliary differential equations in a dispersive material can be written as [26]:

$$
\frac{\partial E_x}{\partial t} = \frac{1}{\varepsilon} \frac{\partial H_y}{\partial z} - \frac{1}{\varepsilon} J_z,
$$

(2a)

$$
\frac{\partial E_y}{\partial t} = \frac{1}{\varepsilon} \frac{\partial H_z}{\partial x} - \frac{1}{\varepsilon} J_x,
$$

(2b)

$$
\frac{\partial E_z}{\partial t} = \frac{1}{\mu_0} E_y - \frac{1}{\mu_0} \frac{\partial E_x}{\partial x},
$$

(2c)

$$
\frac{\partial J_x}{\partial t} = -\gamma J_z + \varepsilon_0 \omega_p^2 E_y,
$$

(2d)

$$
\frac{\partial J_z}{\partial t} = -\gamma J_x + \varepsilon_0 \omega_p^2 E_z,
$$

(2e)

where $\varepsilon$ and $\varepsilon_0$ are the electric permittivity of the medium and free space, respectively, and $\mu_0$ is the magnetic permeability.

The fields can be represented as:

$$
(E, H, J) = \text{Re} \left\{ (\hat{E}, \hat{H}, \hat{J}) e^{i\omega t} \right\},
$$

(3)

where $\text{Re} \{ \cdot \}$ denotes the operation that takes the real part of a complex number, $\omega_c$ is the center carrier angular frequency, and $\hat{E}$, $\hat{H}$ and $\hat{J}$ represent the associated complex-envelope fields and electric current.

Substituting (3) into (2a)-(2e), we get:

$$
\frac{\partial \hat{E}_x}{\partial t} + i\omega_c \hat{E}_x = -\frac{1}{\varepsilon} \frac{\partial \hat{H}_y}{\partial z} - \frac{1}{\varepsilon} \hat{J}_z,
$$

(4a)

$$
\frac{\partial \hat{E}_y}{\partial t} + i\omega_c \hat{E}_y = -\frac{1}{\mu_0} \frac{\partial \hat{E}_z}{\partial x} - \frac{1}{\varepsilon} \hat{J}_x,
$$

(4b)

$$
\frac{\partial \hat{H}_z}{\partial t} + i\omega_c \hat{H}_z = -\frac{1}{\mu_0} \frac{\partial \hat{E}_y}{\partial x} - \frac{1}{\mu_0} \frac{\partial \hat{E}_x}{\partial z},
$$

(4c)

$$
\frac{\partial \hat{J}_x}{\partial t} + i\omega_c \hat{J}_x = -\gamma \hat{J}_z + \varepsilon_0 \omega_p^2 \hat{E}_y,
$$

(4d)

$$
\frac{\partial \hat{J}_z}{\partial t} + i\omega_c \hat{J}_z = -\gamma \hat{J}_x + \varepsilon_0 \omega_p^2 \hat{E}_z.
$$

(4e)

With the LOD scheme [6], we obtain the CE-ADE-LOD-FDTD formalism. In the first step ($n+1/2$), we have:

$$
\hat{E}_{x}^{n+1/2} = \frac{4 - i\omega_c \Delta t}{4 + i\omega_c \Delta t} \hat{E}_x^n + \frac{2\Delta t}{4\varepsilon + i\omega_c \varepsilon \Delta t} \left( \frac{\partial \hat{H}_y^{n+1/2}}{\partial x} + \frac{\partial \hat{H}_z^{n+1/2}}{\partial x} - \hat{J}_z^n - \hat{J}_x^n \right),
$$

(5a)

$$
\hat{E}_{z}^{n+1/2} = \frac{4 - i\omega_c \Delta t}{4 + i\omega_c \Delta t} \hat{E}_z^n + \frac{2\Delta t}{4\varepsilon + i\omega_c \varepsilon \Delta t} \left( \frac{\partial \hat{H}_y^{n+1/2}}{\partial z} + \frac{\partial \hat{H}_z^{n+1/2}}{\partial z} + \hat{J}_x^n + \hat{J}_z^n \right),
$$

(5b)

$$
\hat{H}_{y}^{n+1/2} = \frac{4 - i\omega_c \Delta t}{4 + i\omega_c \Delta t} \hat{H}_y^n + \frac{2\Delta t}{4\mu_0 + i\omega_c \mu_0 \Delta t} \left( \frac{\partial \hat{E}_x^{n+1/2}}{\partial x} + \frac{\partial \hat{E}_y^{n+1/2}}{\partial z} \right),
$$

(5c)

$$
\hat{H}_{z}^{n+1/2} = \frac{4 - i\omega_c \Delta t}{4 + i\omega_c \Delta t} \hat{H}_z^n + \frac{2\Delta t}{4\mu_0 + i\omega_c \mu_0 \Delta t} \left( \frac{\partial \hat{E}_x^{n+1/2}}{\partial z} + \frac{\partial \hat{E}_y^{n+1/2}}{\partial x} \right),
$$

(5d)

$$
\hat{J}_{x}^{n+1/2} = \frac{4 - i\omega_c \Delta t}{4 + i\omega_c \Delta t} \hat{J}_x^n + \frac{2\varepsilon_0 \omega_p^2 \Delta t}{4\mu_0 + i\omega_c \mu_0 \Delta t} \left( \hat{E}_x^{n+1/2} + \hat{E}_y^n \right),
$$

(5e)

In the second step ($n+1$), we have:

$$
\hat{E}_{x}^{n+1} = \frac{4 - i\omega_c \Delta t}{4 + i\omega_c \Delta t} \hat{E}_x^n \left( \frac{\partial \hat{H}_y^{n+1}}{\partial x} + \frac{\partial \hat{H}_z^{n+1}}{\partial x} + \hat{J}_z^n - \hat{J}_x^n \right),
$$

(6a)

$$
\hat{E}_{z}^{n+1} = \frac{4 - i\omega_c \Delta t}{4 + i\omega_c \Delta t} \hat{E}_z^n \left( \frac{\partial \hat{H}_y^{n+1}}{\partial z} + \frac{\partial \hat{H}_z^{n+1}}{\partial z} + \hat{J}_x^n + \hat{J}_z^n \right),
$$

(6b)

$$
\hat{H}_{y}^{n+1} = \frac{4 - i\omega_c \Delta t}{4 + i\omega_c \Delta t} \hat{H}_y^n \left( \frac{\partial \hat{E}_x^{n+1}}{\partial x} + \frac{\partial \hat{E}_y^{n+1}}{\partial z} \right),
$$

(6c)

$$
\hat{H}_{z}^{n+1} = \frac{4 - i\omega_c \Delta t}{4 + i\omega_c \Delta t} \hat{H}_z^n \left( \frac{\partial \hat{E}_x^{n+1}}{\partial z} + \frac{\partial \hat{E}_y^{n+1}}{\partial x} \right),
$$

(6d)

$$
\hat{J}_{x}^{n+1} = \frac{4 - i\omega_c \Delta t}{4 + i\omega_c \Delta t} \hat{J}_x^n \left( \frac{\partial \hat{E}_x^{n+1}}{\partial x} + \frac{\partial \hat{E}_y^{n+1}}{\partial z} \right),
$$

(6e)

$$
\hat{J}_{z}^{n+1} = \frac{4 - i\omega_c \Delta t}{4 + i\omega_c \Delta t} \hat{J}_z^n \left( \frac{\partial \hat{E}_x^{n+1}}{\partial z} + \frac{\partial \hat{E}_y^{n+1}}{\partial x} \right).
$$

(6f)
Since (5b) includes the unknown term $\hat{H}^{n+1/2}_x$, taking the first step for example, we substitute (5c) into (5b) to eliminate $\hat{H}^{n+1/2}_x$ and then get a tri-diagonal matrix equation in term of $\hat{E}^{n+1/2}_z$ which can be solved with Thomas algorithm. The rest of the equations can be calculated in an explicit way. It is clear that the equations of the CE-ADE-LOD-FDTD method degrade into those of the ADE-LOD-FDTD method for $\omega_c = 0$.

B. CE-PML in CE-ADE-LOD-FDTD

In a Berenger’s PML medium, the magnetic field component $\hat{H}_x$ is divided into $\hat{H}^{p}_x$ and $\hat{H}^{c}_x$. With the LOD scheme [6], we obtain the CE-PML difference formalism in the first step ($n+1/2$):

$$
\hat{E}^{n+1/2}_z \big|_{t, t+1/2} = C_1 \hat{E}^{n}_z \big|_{t, t+1/2} + C_2 \left( \hat{E}^{n+1/2}_z \big|_{t, t+1/2} - \hat{H}^{n+1/2}_x \big|_{t+1/2, t+1/2} \right) + C_3 \left( \hat{H}^{n+1/2}_x \big|_{t+1/2, t+1/2} - \hat{H}^{n+1/2}_z \big|_{t+1/2, t+1/2} \right) + C_4 \left( \hat{H}^{n+1/2}_z \big|_{t+1/2, t+1/2} - \hat{H}^{n+1/2}_x \big|_{t+1/2, t+1/2} \right),
$$

$$
C_1 \big|_{t+1/2} = \frac{2\mu_0 - i\omega_c \mu_0 \Delta t / 2 - i\Delta t \rho_s}{2\mu_0 + i\omega_c \mu_0 \Delta t / 2 + i\Delta t \rho_s},
$$

where $\Delta t$ is the time step, $\Delta x_i$ is the discretization step along the $x$-direction, $\sigma$ and $\rho$ are the conductivity and reluctivity, respectively. The equations of the second step can be obtained in a similar way.

III. NUMERICAL RESULTS AND DISCUSSION

With the proposed CE-ADE-LOD-FDTD method, the reflection and transmission coefficients through the PPC are calculated and their dependence on the relative permittivity of dielectric medium, the plasma frequency, the plasma collision frequency and the plasma layer thickness is studied in this section.

A normally incident TM-polarized plane wave illuminates a PPC from the left side, as shown in Fig. 1. The eight dielectric layers and seven plasma layers are set in the PPC model, where $a = b = 15 \mu m$. The computational region is truncated by the Berenger’s PML on the left and right sides. The top and bottom boundaries are treated by the perfect electric conductors (PECs).

A Gaussian pulse is used as the source excitation, which can be written as:

$$
F(t) = e^{-\left(\frac{(t-t_0)^2}{\tau^2}\right)},
$$

where the maximum frequency $f_{max} = 10$ THz, $\tau = 1/(2f_{max})$ and $t_0 = 5\tau$. The frequency characteristics of the transmission are calculated with the discrete Fourier transform (DFT) of time-domain responses in the observation plane.

Here, the graded cells are used to attain sufficient accuracy. The center carrier angular frequency $\omega_c = 2\pi \times 5 \times 10^{12}$ rad/s, the spatial step along the $x$-direction is 1.5 $\mu$m, and the minimum and maximum spatial steps
along the z-direction are $\Delta_{\text{min}} = 0.05 \, \mu\text{m}$ and $\Delta_{\text{max}} = 2 \, \mu\text{m}$, respectively. The total cell number in the computational domain is $40 \times 355$.

**A. Accuracy verification of CE-ADE-LOD-FDTD**

First, the accuracy and effectiveness of the proposed CE-ADE-LOD-FDTD method are verified. We choose the plasma frequency $\omega_p = 2\pi \times 2 \times 10^{12} \, \text{rad/s}$, the plasma collision frequency $\gamma = 40 \, \text{THz}$, and the relative permittivity of dielectric $\varepsilon_r = 4$ in the simulation.

![Fig. 2. Results of transmittance of the PPC from ADE-FDTD, ADE-LOD-FDTD and CE-ADE-LOD-FDTD.](image)

**Table 1: Comparison of the computational efforts for the three methods**

<table>
<thead>
<tr>
<th>Method</th>
<th>CFLN</th>
<th>Marching Steps</th>
<th>CPU Time (s)</th>
<th>Memory (Mb)</th>
</tr>
</thead>
<tbody>
<tr>
<td>FDTD</td>
<td>1</td>
<td>200000</td>
<td>45017</td>
<td>8.99</td>
</tr>
<tr>
<td>ADE-LOD-FDTD</td>
<td>100</td>
<td>2000</td>
<td>251</td>
<td>11.35</td>
</tr>
<tr>
<td>CE-ADE-LOD-FDTD</td>
<td>100</td>
<td>2000</td>
<td>529</td>
<td>16.06</td>
</tr>
</tbody>
</table>

Figure 2 shows the transmission coefficients calculated by ADE-FDTD, ADE-LOD-FDTD [26], and the proposed CE-ADE-LOD-FDTD method, where $\Delta_{\text{FDTD}} = \Delta_{\text{min}}/c$ ($c$ is the velocity of light in the vacuum) is chosen for the explicit ADE-FDTD according to the CFL constraint, $\Delta_{\text{LOD}} = 100\Delta_{\text{FDTD}}$ (CFLN = $\Delta_{\text{LOD}} /\Delta_{\text{FDTD}} = 100$) is chosen for ADE-LOD-FDTD, and $\Delta_{\text{CE-LOD}} = 100\Delta_{\text{FDTD}}$ (CFLN = 100) is chosen for CE-ADE-LOD-FDTD. It is clear from Fig. 2 that the results from CE-ADE-LOD-FDTD and ADE-FDTD are in good agreement. The results from ADE-LOD-FDTD are worse than those from the other two methods because its dispersion deteriorates with the large time step.

Table 1 shows the comparison of computational efforts of the three methods. Because of the storage of the sparse matrix, the memory requirement of CE-ADE-LOD-FDTD is larger than ADE-FDTD. With the much larger time step beyond the CFL constraint, the CPU time of CE-ADE-LOD-FDTD can be much less than that of ADE-FDTD. Although CE-ADE-LOD-FDTD costs more CPU time than ADE-LOD-FDTD, it gets much more accuracy results. All calculations in this paper are performed on an AMD Athlon (tm) II X4 3.00 GHz computer with 6 GB RAM.

**B. Effects of the relative permittivity of dielectric**

First, we discuss the effects of the relative permittivity of dielectric on the band gap of the PPC. We choose the plasma frequency $\omega_p = 2\pi \times 2 \times 10^{12} \, \text{rad/s}$ and the plasma collision frequency $\gamma = 40 \, \text{THz}$ in the simulation. With the proposed CE-ADE-LOD-FDTD method, the reflection and transmission coefficients for the PPC with different relative permittivities of dielectric are depicted in Figs. 3, 4 and 5. Here, we choose CFLN = 100 in the CE-ADE-LOD-FDTD method.

![Fig. 3. Electromagnetic band-gap characteristics with the relative dielectric permittivity $\varepsilon_r = 2$.](image)

![Fig. 4. Electromagnetic band-gap characteristics with the relative dielectric permittivity $\varepsilon_r = 4$.](image)
he periodicity of the band
then the increase o
relative permittivity of the dielectric medium
on the band gap of the PPC. Here we c
C. Effects of the plasma frequency
It is difficult to form the band gap when the
relative permittivity of the dielectric medium equals to
1 because the dielectric constant of the plasma is close to
1. That means that the PPC structure tends to a single
medium when the relative permittivity of the dielectric medium is close to that of the background medium. The
double band gap appears near \( f = 4.2 \) THz and 8.4 THz when the relative permittivity of the dielectric medium equals to 2. With the increase of the relative permittivity value of the dielectric medium, the depth and number of
the band gap increase. Therefore, the band gap can be
well controlled by changing the relative permittivity value of the dielectric medium.

C. Effects of the plasma frequency
Next, we study the effects of the plasma frequency
on the band gap of the PPC. Here we choose the
relative permittivity of the dielectric medium \( \varepsilon_r = 5 \), and
the plasma collision frequency \( \gamma = 40 \) THz in the
simulation. Figure 6 depicts the transmission coefficients for the plasma frequency from \( \omega_p = 2\pi \times 2 \times 10^{12} \) rad/s
to \( 2\pi \times 10 \times 10^{12} \) rad/s.

With the increase of the plasma frequency, from Fig.
6, the periodicity of photonic band gaps gets unobvious, but the band gap range is a little extended. The transmission coefficient of the PPC will be reduced to zero when the plasma frequency increases to a certain value. This is mainly because when the frequency of the incident electromagnetic wave is close to the maximum plasma frequency, the attenuation of the electromagnetic wave becomes very large, i.e., the resonance attenuation [27].

D. Effects of the Plasma Collision Frequency
Then, we discuss the effects of the plasma collision frequency on the band gap of the PPC. Here we choose the relative permittivity of the dielectric medium \( \varepsilon_r = 5 \) and the plasma frequency \( \omega_p = 2\pi \times 2 \times 10^{12} \) rad/s in the
simulation. Figure 7 depicts the transmission coefficients for the plasma collision frequency from \( \gamma = 20 \) THz to
80 THz. From Fig. 7, the plasma collision frequency has little effect on the periodicity of the band gap.

E. Effects of the plasma layer thickness
Finally, we study the effects of the variation of the
plasma layer thickness on the band gap of the PPC. Here we choose the relative permittivity of the dielectric medium \( \varepsilon_r = 4 \), the plasma frequency \( \omega_p = 2\pi \times 2 \times 10^{12} \)
rad/s, and the plasma collision frequency \( \gamma = 40 \) THz
in the simulation. Figure 8 depicts the transmission coefficients for the plasma layer thickness from \( b = 15 \mu m \) to 49 \( \mu m \). From Fig. 8, with the increase of the plasma layer thickness, the periodicity of the band gap deteriorates. The absorption performance of the plasma enhances with the increase of plasma layer thickness, and then the attenuation of the incident wave gets large. The periodic band gaps can be generated when the frequency of the incident wave is high and the plasma layer thickness is small.
IV. CONCLUSION

In this paper, an effective time-domain method with Berenger’s PML has been developed for the analysis of the band-gap characteristics in the PPC. With the introduction of the CE technique, the ADE-LOD-FDTD method can provide much more accurate results than the traditional ADE-LOD-FDTD in the numerical examples. The numerical examples verify accuracy and effectiveness of the proposed method, and the results show that the photonic band gaps of the PPC could be tuned by changing the value of the relative permittivity of the dielectric medium, the plasma frequency or the plasma layer thickness.

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REFERENCES


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His research interest is computational electromagnetic.

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